

## The role of free immunoglobulin light chains in serum from SLE patients with or without renal involvement

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### ABSTRACT

Systemic lupus erythematosus (SLE) is a systemic autoimmune disease. Lupus nephritis (LN) affects 30% to 60% of adult Mexican patients. This study aimed to determine whether immunoglobulin free light chains (FLCs) constitute a biological marker for LN. FLCs have been previously studied as disease activity biomarkers, but patients with kidney damage are frequently excluded. A total of 75 consecutive SLE patients were recruited from a third-level hospital in Mexico City. Thirty-eight patients were diagnosed with LN, and the remaining 37 patients without kidney failure were included as a control group. FLCs concentration was measured by nephelometry. The results showed that SLE patients with high FLCs concentration had a higher rate of kidney failure and that the probability of renal failure increased by 2.4% for each mg/dL of  $\kappa$  FLCs, and 1.7% for each mg/dL of  $\lambda$  FLCs. This study suggests that high FLCs concentration is associated with LN, and therefore may be considered as a possible biomarker for SLE activity.

**Keywords:** free immunoglobulin light chains; SLE; lupus nephritis

### INTRODUCTION

Systemic lupus erythematosus (SLE) is a systemic autoimmune disease characterized by immune dysregulation, which leads to multi-organ tissue injury and increased risk of infection. The clinical course is exceptionally heterogeneous and unpredictable, following a pattern of exacerbations and remissions, and

comprising alterations of the skin, joints, kidney, and occasionally affecting the cardiovascular and central nervous system<sup>[1-3]</sup>. There are multiple complications associated with SLE; one of the most prevalent is Lupus nephritis (LN)<sup>[4]</sup>. LN prevalence in adult patients ranges from 30% to 60%, and is characterized by the glomerular deposition of immune complexes and infiltration of phagocytes and inflammatory cells, which leads to injury and impaired function<sup>[5-6]</sup>.

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The authors have declared no conflicts of interest.

Precise molecular triggers of the disease are unknown<sup>[7-8]</sup>. Nevertheless, a pivotal characteristic of the pathogeny is B-cell polyclonal hyperstimulation, with the production of autoantibodies that show multiple specificities, which among the most frequent are those directed at nucleic acid epitopes<sup>[9-11]</sup>. Binding to DNA, RNA, or RNP proteins generates immune complexes that require clearance by specific receptors on phagocytic cells and complement system regulators. Without clearance, immune complexes deposit on endothelial cells and glomerular basal membranes, which results in the release of soluble mediators from the immune system, leading to both local and systemic inflammation, inducing tissue damage<sup>[12-13]</sup>.

The diagnosis is mainly made on clinical grounds, aided by diagnostic criteria, such as the one widely employed by the American College of Rheumatology<sup>[14]</sup>. Immunological criteria are indispensable to establishing the diagnosis, and as such, serologic biomarkers are routinely ordered for the patients' follow-up. There is evidence supporting the use of serological biomarkers, such as C-reactive protein, globular sedimentation rate, and double-chain anti-DNA antibodies. However, the use of these biomarkers as disease activity markers and risk assessment remains controversial. Numerous studies have been done to clarify whether such a correlation between these biomarkers and clinical outcomes exist. However, patients with decreased kidney function are frequently excluded, since an impaired renal clearance might, by itself, affect the concentration of serum biomarkers.

Immunoglobulins are synthesized by activated B-cells. They have a tetrameric structure consisting of two identical heavy chains and two identical light chains, bound by disulfide bonds<sup>[15-18]</sup>. Light chains have two isotypes: Kappa ( $\kappa$ ) and Lambda ( $\lambda$ ). And through the immunoglobulin synthesis process, light chain overproduction occurs, of approximately 500 mg per day in healthy subjects. This light chain surplus is released into the systemic circulation as free light chains (FLCs) where they suffer quick renal clearance, which results in a short half-life of 2 to 6 hours<sup>[19-20]</sup>.

The serum concentration of FLCs depends on the equilibrium between production and renal clearance. An overproduction of light chains occurs with an augmented synthesis of immunoglobulins, usually as a result of chronic immune stimulation<sup>[15-16, 21]</sup>. Healthy individuals have an average FLCs serum concentration of 7.3 mg/L for  $\kappa$  (95%CI= 3.3-19.4 mg/L) and 12.7 mg/L for  $\lambda$  (95%CI= 5.7-26.3 mg/L)<sup>[22-23]</sup>. When the production of FLCs exceeds kidney reabsorption, high levels of FLCs are also detected in urine. In the distal tubule, an excess of light chains bind to the

Tamm-Horsfall protein (also known as uromodulin)<sup>[24]</sup>. Uromodulin is normally secreted in the distal tubule. The complex immunoglobulin-uromodulin precipitates, blocking tubule flow and breaking the basement membrane and the interstice, which causes a vicious circle of kidney function deterioration<sup>[22-24]</sup>.

In this study, the paper aimed to determine the serum concentration of FLCs and correlate them with the development of LN. This determination serves to better define the pathogenic processes which lead to LN and to test the role of FLCs as surrogate biomarkers for LN in the Mexican population.

## MATERIALS AND METHODS

### Study design and subjects

An observational, retrospective, analytical study was performed. Eligible SLE patients included those born in Mexico, whose parents and grandparents were also born in Mexico, and whose genetic admixture fulfilled the definition of Mexican Mestizo. All patients were over 18 years old at the time of diagnosis, and 86% of them were female. The diagnosis was based on clinical evaluations, following criteria from the American College of Rheumatology (ACR)<sup>[14]</sup>. Patients were assessed for disease activity using the SLE Activity Index (SLEDAI)<sup>[25-26]</sup>. A total of 75 consecutive SLE patients were recruited between 2015 and 2016 from the Rheumatology Outpatient Clinic at the Salvador Zubiran, Instituto Nacional de Ciencias Medicas y Nutricion (National Institute of Health Sciences and Nutrition), Mexico City. Thirty-eight patients were diagnosed with LN, supported by the Nephrology Outpatient Clinic. The remaining 37 patients without kidney failure were included as a control group.

Patients with kidney failure were defined in accordance with international standards established by the European Renal Association (ERA): proteinuria > 500mg in 24 hours, proteinuria/creatinuria (UPCR) > 50 mg/mmol, and the presence of erythrocyte cylinders.

The Salvador Zubiran Instituto Nacional de Ciencias Medicas y Nutricion Ethics Committee reviewed and approved the protocol for this study. All subjects were older than 18 and provided written informed consent, authorizing their participation in the research and the storage of their blood samples at the INCMN-SZ repository for this and future studies.

### Laboratory analysis

Serum samples were stored at  $-20^{\circ}\text{C}$  until thawed. Serum  $\kappa$  and  $\lambda$  FLCs concentrations were measured by nephelometry, on an IMAGE<sup>®</sup> 800, Beckman Coulter, using particle-enhanced, high-specificity,

homogeneous immunoassays (Freelite™, The Binding Site, Birmingham, UK) [27]. FLCs results were compared with the published reference range for the Serum FLC ratio ( $\kappa:\lambda = 0.26-1.65 \text{ mg/dL}$ ) [28]. All sera were assessed with FLCs immunoassays.

### Statistical analysis

The statistical analysis was made with Microsoft Excel 2010 and IBM SPSS Statistics 25. The data was described as a range, mean, and standard deviation. The Mann-Whitney U test was performed for abnormally distributed SLE sample patients with and without LN. A 95% confidence level was established. This analysis was applied for determining the differences in serum concentrations of  $\kappa$  and  $\lambda$  FLCs in both groups of patients.

Additionally, a multivariate model was performed using multiple logistic regression to test the main effect of the increase in the concentration of  $\kappa$  and  $\gamma$  FLCs in relation to the probability of having renal failure. This was expressed as an odds ratio (OR), which is interpreted as the number of times the likelihood of renal failure increases for each mg/dL of the concentration of  $\kappa$  and  $\gamma$  FLCs.

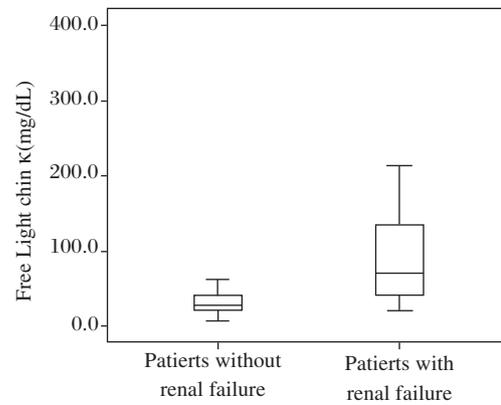
Finally, an analysis of the receiver operating characteristic curve (ROC) was performed to determine the optimal cutoff for  $\kappa$  and  $\gamma$  FLCs. The exposure value was determined with the Youden index, the discriminatory method for various biomarkers.

### RESULTS

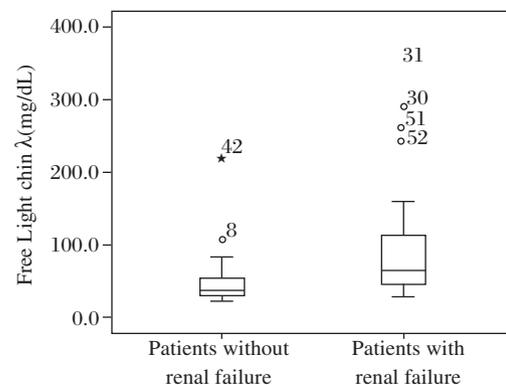
A total of 75 patients were included in the study: 38 SLE patients with LN, and 37 SLE patients without LN. The Mann-Whitney U analysis showed a statistically significant difference in the concentration of  $\kappa$  and  $\gamma$  FLCs between SLE patients with LN and those without LN (Fig. 1 and 2).

The results of the multivariate analysis for the  $\kappa$  and  $\gamma$  FLCs concentrations for risk of LN development are shown in Tables 1 and 2. According to the results of the multivariate analysis, the probability of renal failure increased by 2.4% for each mg/dL of  $\kappa$  FLC serum concentration and 1.7% for each mg/dL of  $\gamma$  FLC serum concentration. Additionally, an increased risk of developing renal failure with hematological manifestations can also be seen in Tables 1 and 2.

The exposure value for FLCs serum concentration was determined with the Youden index. The Youden Index for  $\kappa$  FLC was 35.5, showing a sensitivity of 81.6% and a specificity of 70.3%. Meanwhile, the Youden Index for  $\lambda$  FLC was 28.25, showing a sensitivity of 97.4% and specificity of 70.3%. The area under the curve in the receiver operating characteristic curve (ROC) for  $\kappa$  FLC was 0.809, and for  $\lambda$  FLC was 0.754 (Fig. 3 and 4).



**Fig.1 Immunoglobulin light chains  $\kappa$  in patients with SLE.** Post-nephelometry analysis of the concentration of light chains of immunoglobulins isotype(mg/dL). The aim was to identify whether these constitute a biomarker and can be considered as a biomarker of lupus nephritis. The clinical record was evaluated, and the corresponding diagnoses were established to identify both groups SLE patients without kidney condition and SLE patients with lupus nephritis. Statistical analysis was carried out with SPSS statistics version 21 program, U Mann Whitney test was performed for non-parametric population, it was established a 95% confidence interval. It was obtained a significant statistical difference ( $P<0.0001$ ) in terms of the elevation of light chains  $\kappa$  fractions in patients with lupus nephropathy, compared to those patients with SLE without renal alterations; this suggests that free immunoglobulin light chains of serum correlate with lupus nephritis in Mexican patients with SLE.



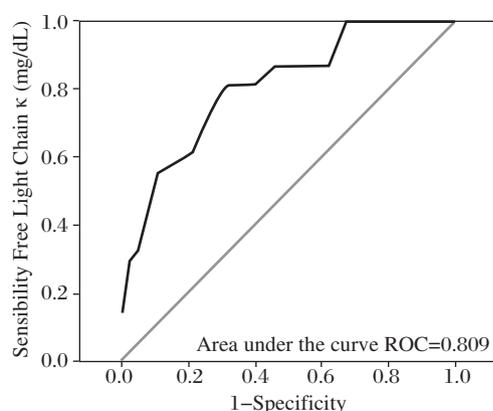
**Fig.2 Immunoglobulin light chains  $\lambda$  in patients with SLE.** Post-testing of the concentration of light chains of immunoglobulins  $\lambda$ (mg/dL). The aim was to identify if light chains of immunoglobulins  $\lambda$  constitute a biomarker and can be considered as a biomarker of lupus nephritis. The clinical record was evaluated, and the corresponding diagnoses were established to identify both groups SLE patients without kidney condition and SLE patients with lupus nephritis. Statistical analysis was carried out with SPSS statistics version 21 program, U Mann Whitney test was performed for non-parametric population, it was established a 95% Confidence Interval. It was obtained a significant statistical difference ( $P<0.0001$ ) in terms of the elevation of light chains  $\lambda$  in patients with lupus nephropathy, compared to those patients with SLE without renal alterations. Free immunoglobulin light chains of serum correlate with lupus nephritis in Mexican patients with SLE.

**Table 1** Multivariate analysis of free light chains  $\kappa$ 

Variable	P value	OR	95%CI	
Free light chain $\kappa$ (mg/dL)	0.003	1.0	1.008	1.041
Discoid rash	NS			
Photosensitivity	NS			
Oral ulcers	NS			
Arthritis	NS			
Serositis	NS			
Neurological symptoms	NS			
Hematology symptoms	0.047	4.3	1.020	18.324

**Table 2** Multivariate analysis of free light chains  $\gamma$ 

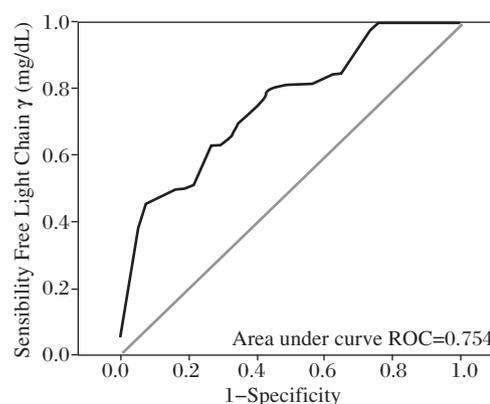
Variable	P value	OR	95%CI	
Free light chain $\gamma$ (mg/dL)	0.05	1.02	1.000	1.034
Discoid rash	NS			
Photosensitivity	NS			
Oral ulcers	NS			
Arthritis	NS			
Serositis	NS			
Neurological symptoms	NS			
Hematology symptoms	0.02	4.94	1.283	18.991



**Fig.3 ROC curve Free light chains  $\kappa$  (mg/dL).** It was used as the optimal characteristic receiver curve (ROC) analysis to determine light immunoglobulin  $\kappa$  (mg/dL) chain specificity and sensitivity. The analysis of the receiver's operational characteristic curve (ROC) was performed, and the exposure value was determined with the Youden index (discriminatory method for various biomarkers) which was 35.5; the test showed a sensitivity of 81.6% and a specificity of 70.3%. The area under the curve on the ROC curve was 0.809 with a value of  $P < 0.0001$  (95%CI: 1.008–1.040), indicating that kappa light chains have value as a biomarker in this disease.

## DISCUSSION

The clinical relevance of FLCs in patients with hematological neoplasms is well established<sup>[28]</sup>, but little is known about how FLCs serum and urine concentrations in SLE patients can be interpreted and implemented in clinical practice. SLE is predominantly a B cell-mediated pathology, so the measurement of a by-



**Fig.4 ROC Curve Free light chains  $\lambda$  (mg/dL).** It was used as the optimal characteristic receiver curve (ROC) analysis to determine light immunoglobulin  $\lambda$  (mg/dL) chain specificity and sensitivity. The analysis of the receiver's operational characteristic curve (ROC) was performed, and the exposure value was determined with the Youden index (discriminatory method for various biomarkers) which was 28.25; the test showed a sensitivity of 97.4% and a specificity of 70.3%. The area under the curve on the ROC curve was 0.754 with a value of  $P < 0.0001$  (95%CI: 1.000–1.034), indicating that lambda light chains have a high value as a biomarker in this disease.

product of B cell function would serve as a surrogate biomarker to determine disease activity, even prior to the appearance of clinical manifestations. One of the main complications of SLE in the Mexican population is LN, which is the strongest predictor of morbidity and mortality<sup>[1–2]</sup>. Since even with treatment, remission is achieved in only 50%–70% of patients, with 10%–20% developing end-stage renal disease within 5 years of diagnosis<sup>[29]</sup>. Thus, in the present study, we measured FLCs concentrations in SLE patients and conducted comparison analyses between those who developed LN and those who did not.

The reference intervals employed in this study were described by Katzmann *et al.*<sup>[27]</sup>, i.e. from a study of 282 serum samples, from donors between the ages of 21 and 90 years. Their results showed no statistically significant difference in FLCs concentrations relating to the subjects' age or sex.

Aggarwal *et al.*<sup>[20]</sup> presented strong evidence on the correlation between clinical manifestations and FLCs. In their study, patients with higher disease activity, measured through the SLEDAI score, had significantly higher FLCs concentrations compared with patients with lower or no activity. They also executed an analysis of other biomarkers and found that IgG, C4, and dsDNA antibodies showed no significant differences when stratified by disease activity; with only C3 showing a strong correlation with the SLEDAI, along with total FLCs. However, in their study, patients with a creatinine level superior to 2 mg/dL were excluded,

meaning that their results were believed unable to demonstrate how these biomarkers behave in patients with lupus renal manifestations. Another study that provided substantial evidence on the correlation of FLCs with clinical manifestations and its superiority to other biomarkers was conducted by Jolly *et al.*<sup>[30]</sup>. They found FLCs to be an independent correlate of disease activity, especially the  $\lambda$  component. Through a univariate regression analysis,  $\lambda$  FLC explained 31% of SLEDAI score. In spite of the fact that no exclusion criteria regarding renal function was established, they did not consider renal serum manifestations to be associated with FLCs concentrations.

The association of FLCs to LN has been previously explored by Hanaoka *et al.*<sup>[24]</sup>. They measured FLCs in the urine of 43 SLE patients with LN and classified them into two groups according to histological subtypes. The authors found urinary FLCs to be significantly higher in patients with proliferative LN, compared to those with non-proliferative LN. However, their results differed to others previously reported, in that urinary FLCs showed no relationship with serum FLCs and only a weak association with SLEDAI<sup>[31]</sup>.

The cohort points established for FLCs in this research, determined the presence of kidney failure as well as for patients with SLE; since deviation from normality can be used as a predictive indicator of renal failure, as indicated by results found by Aggarwal *et al.* in their description of FLCs urine concentrations in SLE patients<sup>[20]</sup>. This suggests that FLCs concentrations in serum might correlate with lupus activity.

This study revealed that elevated  $\kappa$  and  $\lambda$  serum FLCs concentrations correlated strongly with renal activity in Mexican patients with SLE. The ROC curves for  $\kappa$  and  $\lambda$  FLCs showed a high sensitivity and specificity to detect LN. Its determination might be considered as a useful diagnostic tool for the clinician to predict LN. Therefore, the present study suggests that FLCs serum determination in SLE is a marker of disease activity, mainly when there is an elevation of both ( $\kappa / \lambda$ ) chains and also when there is a clinical renal subtype of SLE.

Further research is required to describe the role of FLCs in the physiopathology of SLE and its correlation between its serum concentration and overall SLE activity. We presented evidence on the potential of FLCs as a biomarker for higher risk of SLE activity-related renal complications. However, this study was not exempt from limitations. The data was collected retrospectively, the sample was of moderate size, no comparison was made between other biomarkers, and it did not include healthy individuals. This means, the results, although meaningful, do not yet hold suffi-

cient evidence to establish FLCs superiority to current biomarkers or an irrefutable clinical recommendation to employ it for risk assessment. Future studies with a prospective longitudinal design, comparison with other biomarkers, a larger sample, and the inclusion of healthy subjects are necessary to determine whether FLCs have a potential clinical application as a measurement of disease activity and risk assessment in SLE.

The novelty of this study lies in its focus on LN and measuring FLCs in serum instead of urine. Because of its high heterogeneity, focusing on specific subtypes of SLE allows for a better understanding of the disease. Previous studies have frequently excluded this clinical subtype in their analyses. LN mainly arises due to the deposition of immune complexes. Therefore, a significant concentration of FLCs might indicate a high rate of immune complex formation and deposition. This finding, even in asymptomatic patients, might justify instituting or modifying the treatment regime, in order to prevent progression to LN. But this has still to be put to the test by future studies.

### Acknowledgments and funding

This work was funded by grant 000 000 000273175 from the CONACYT (Consejo Nacional de Ciencia y Tecnología de México). The authors thank all individuals with SLE who participated in this study.

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**(Received 26 August 2019, Revised 24 October 2019, Accepted 25 November 2019)**